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Development of ASSIST Checklist for Use of Smart Home Technology as Assistive Technology

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# **Speaker Disclosures/Session Disclosure**

Dan Ding, Lindsey Morris, & Andrea Fairman have no financial or non-financial relationships to disclose.

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# **Learning Objectives**

- 1. Identify two potential benefits of using a formal assessment tool in the provision of mainstream smart home technologies as assistive technology for persons with physical disabilities.
- 2. Identify mainstream smart home technologies that can be used to support at least 5 different daily activities which persons with physical disabilities may have difficulty completing independently.
- 3. Identify at least two key factors to advocate for provision of mainstream smart home technologies as assistive technology in the service delivery process to increase access for persons with disabilities.





# Outline

- Background
- Overview of the ASSIST Project
- Rationale for the ASSIST Functional Performance Index (AFPI)
- AFPI Structure and Design
- AFPI Content Validity
- AFPI Test-Retest Reliability (Ongoing)
- AFPI Review for Comments/Suggestions





# Background

# **Mainstream Smart Home Technologies as AT**



# **P**ros

- Readily available
- Affordable
- Wide variety
- Customizable
- Smart



- Accessibility, usability, and integration with existing AT not considered
- No standard protocol and universal compatibility between products
- Wide variety
- No service delivery

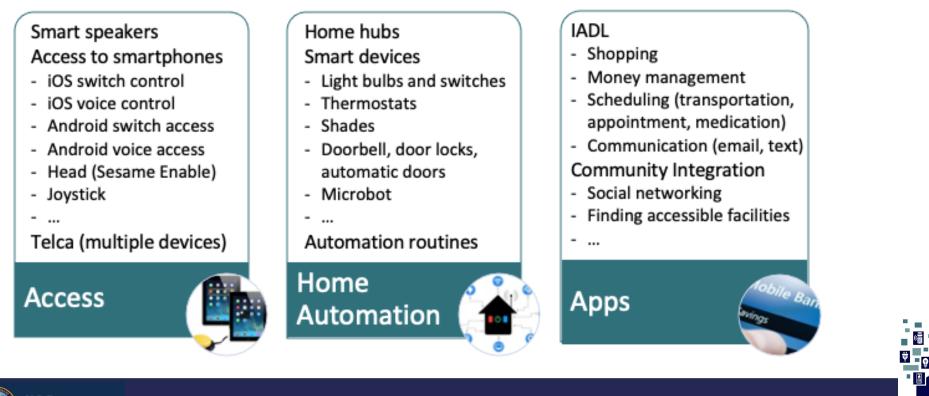




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# **ASSIST Project**

- Autonomy, Safety, and Social Integration via Smart Technologies (ASSIST)
- To develop and evaluate ASSIST a comprehensive technology-based intervention in people with physical disabilities residing in the community who are at risk of institutionalization.





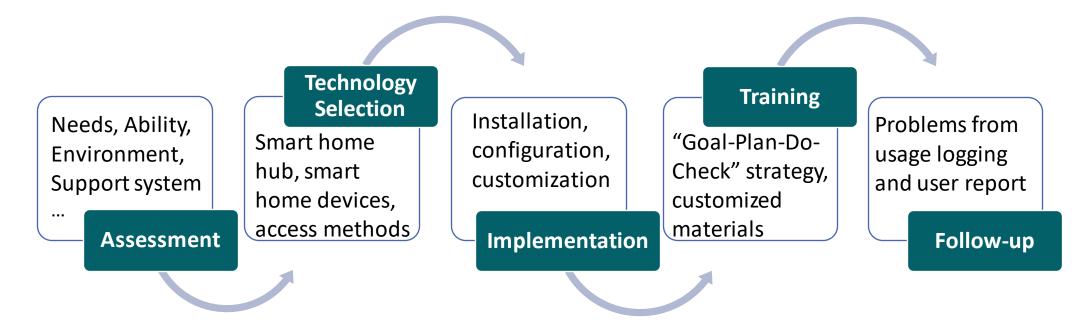
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# **ASSIST Service Delivery Model**

#### **ASSIST Development Guided By:**

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Human Activity Assistive Technology Model<sup>1</sup> and Cognitive Orientation to Daily Occupational Performance (CO-OP)<sup>2</sup>







# **ASSIST Functional Performance Index**

#### Purpose

- 1. Assessment: Identify occupational performance deficits that could be addressed using mainstream smart home technology as AT
- 2. Outcome Measure: Assess the impact of smart home technologies provided with AT services on the client's functional performance







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# **Review of Existing Measures**

#### **o** Functional Performance Assessments

- Assessment of Life Habits (LIFE-H)<sup>3,4</sup>
- Spinal Cord Injury Function Index using AT (SCI-FI/AT)<sup>5</sup>

#### **O Home Modification / Accessibility Assessments**<sup>6</sup>

- In-Home Occupational Performance Evaluation (I-HOPE)<sup>7</sup>
- Client-Clinician Assessment Protocol (C-CAP)<sup>8</sup>
- Comprehensive Assessment and Solution Process for Aging Residents (CASPAR)<sup>9</sup>

#### $\,\circ\,$ Assistive Technology Assessments

- Individually Prioritized Problem Assessment (IPPA)<sup>10</sup>
- Matching Person and Technology (MPT) Assessment<sup>11</sup>

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	Step 1 Step 2 Step 3								1														
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B. : Keep in mind that answers should reflect the person's usual way of carrying out life habits.	Accomplished (by the person or someone else)	Not accomplished (Skip to step 3)	Not applicable (Skip to the next life habit)	Assistive device**	Assertion concerned to assistance (Urability (Urability)) (Urability) (Urabili		Without or with a little difficulty	With some difficulty	With a lot of difficulty Very satisfied			LIFE-H											
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SCI-FI/AT		-								Una	ble	With	With	With a little	Without	Unkn							
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					2. Are you able to remove something from your back pocket? 3. Are you able to water a houseplant?								)o )o		2	3	D4	9 9					
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(circle the most appropriate number) 1 2 3 4 Of no	5	6	7 Mos									Has using the assistive device solved this problem as much as you expected it would? (circle the most appropriate number)											
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# Gaps in Existing Assessments/Outcomes

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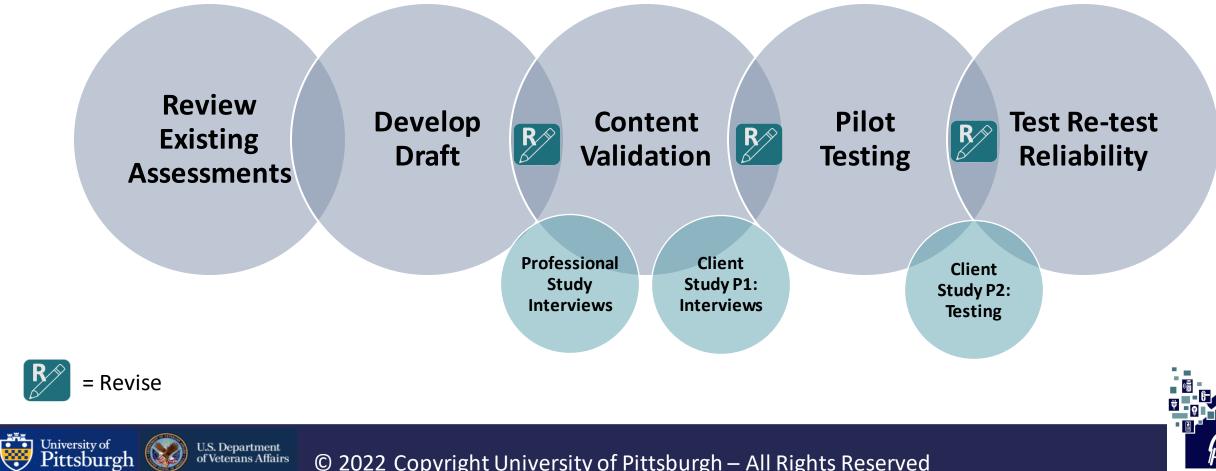
# Lack of assessment/ outcome tools specific to delivery of AT for environmental control (ECU or Smart Home Tech)

- Variability in measurements used to assess the impact of AT use on functional performance and participation<sup>12</sup>
- Variability specificity of task and activities in item bank
- Variability in how functional performance is measured
  - Measures used and sensitivity of rating scale
  - Self report vs. clinician rating





# **ASSIST FPI Development**



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Domain Definition	<ul> <li>Identify behaviors and traits being measured</li> </ul>	
<b>Content Validation</b>	<ul> <li>Assess items by clarity/importance and revise as needed to finalize version for pilot testing</li> </ul>	
One to One Administration	<ul> <li>Test with a few individuals to check for clarity of wording, directions, rating scales/ qualifiers, activities, and cultural relevance → revise as needed</li> </ul>	AT Outcome Measure
Field Testing & Analyses	<ul> <li>Test with larger group, item analyses (factor analyses of subscales, item difficulty/variability), preliminary reliability testing</li> </ul>	<b>Development</b> <sup>4</sup>
Revisions	<ul> <li>Based on analysis of field testing</li> </ul>	
Measure Validation	<ul> <li>Complete psychometric testing, reliability and validity needed to be considered a valid assessment</li> </ul>	



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ASSIST Functional Performance Index

Item Bank Development

#### Final Item Bank includes 54 items within 5 Domains



Existing smart home technology that may support a client's performance has been identified for all activities in the item bank







#### ASSIST Functional Performance Index

# **Outcome Rating Scales and Qualifiers**

- Client rating of their activity performance
  - Type and amount of assistance amount used to complete task
- Client rating of difficulty experienced completing the task
- Client rating of **satisfaction** with activity performance
- Clinician rating of activity performance
  - Based on level of assistance provided and safety concerns observed when client performs the task

Combination of self-report and clinician rating to support client centered assessment and intervention model

Open and Close the	he Main Exterior Door
How do you most commonly open and close the main exterior door of your home? * must provide value	Complete the task with assistanc (human, animal, or technology)
( Help	Complete the task on my own
	Do not complete the task due to lack of ability or resources
	Not applicable (the task is not pa of my life)
What assistance do you most commonly use to open and close the main exterior door of your home?	Human assistance only
* must provide value	Non-human assistance (animal o technology) only
	Both human and non-human (animal or technology) assistance
How much human assistance do you most commonly receive to open and close the main	Complete human assistance
exterior door of your home? * must provide value	Significant human assistance
Help	Some human assistance
How much difficulty do most commonly have opening and closing the main exterior door of your	A lot of difficulty
home? * must provide value	Some difficulty
<b>Нер</b>	A little difficulty
	No difficulty
Which answer best describes your current level of satisfaction with how you most commonly open and	Very Satisfied
close the main exterior door of your home? * must provide value	Satisfied
	Dissatisfied
	Very Dissatisfied





# ASSIST Functional Performance Index

#### **Administration**

- Method: Computer based via REDCap
- Time: 45-60 minutes

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• Process:  $\rightarrow$ 

#### 1. Clinician reviews instructions with client

2. Client answers questions to rate their activity performance, difficulty, and satisfaction for each item

**3.** Review results together, then client selects the top 10 items they want to focus on improving their participation and ranks them highest to lowest priority

4. Clinician assess client's performance for the selected activities and rates their performance based on amount of assistance provided and safety risks observed

5. Clinician reviews and discusses the results with the client and uses shared decision making to select 3-5 items to focus on addressing





# **ASSIST Functional Performance Index Draft 1**

		Task						
	Entering the	Unlock the door from outside						
	apartment/	Open the door from outside						
	house	Close the door from inside						
		Lock the door from inside						
	Leaving the	Unlock the door from inside						
\$2	apartment/	Open the door from inside						
ces	house	Close the door from outside						
Home Access		Lock the door from outside						
Ĕ	Garage Access	Open and Close garage door						
Ĭ	Handling	Answer doorbell						
	visitors (e.g.,	Let visitors into the building						
	attendants)	Let visitors into the apartment/house						
	Inside door	Open/close sliding door						
	access	Open/close hinged door						
		Open/close pocket door						
	Lighting	Turn on/off ceiling lights						
Lo I	Control	Turn on/off lamps						
Ju Ju		Turn on/off outdoor lights						
t	Temperature	Turn on/off and adjust thermostat						
Environment Control	Control	Turn on/off and adjust ceiling fan						
ron		Turn on/off and adjust floor/tower fan						
nvi	Window Control							
ш		Open/close windows						
		Adjust window treatment						
_		Sit up/ lay down in bed						
Rest and Sleep		Reposition in bed						
		Use of tech and/or apps to support quality of sleep						

			÷
	Bathing	Turn on/off shower head	⊥
		Adjust/ determine appropriate water temperature	
S		Get shampoo/body wash out of container	⊥
ADLs	Grooming	Turn bathroom sink on/ off	L
		Adjust/ determine appropriate water temperature	
		Get toothpaste or hand soap out of container	
	Toileting	Wipe/perineal care	
	Bathing	Call 911	
		Call emergency contact	Т
		Call for help when phone is not nearby (bathroom,bed)	T
		Monitor home security/ respond to security threats	T
		Respond to smoke alarm	T
	Home	Vacuum/ mop floors	t
	Management	Cut grass/ maintain lawn	t
		Clear snow from sidewalks in winter months	t
s		Complete or schedule home maintenance task	t
IADLs		Plug or unplug cords	t
	Pet Care	Feed pet	t
		Let pet go to the bathroom	t
		Play with pet	t
	Shopping	Check fridge, cabinets, closets etc. to determine needed	t
		food and household items	L
		Prepare shopping list	t
		Complete shopping	t
	Community	Access transportation	T
	Mobility	Identify accessible routes and community locations	T
	Financial	Bill Pav	F
	Management	bin r dy	L
		Banking and Budgeting	t
		Complete pressure relief as your doctor recommends	t
പ	Health	Managing and taking medications	t
IADLs	Management	Management of healthcare supplies (ordering, tracking)	t
	0	Scheduling and attending doctors appointments	t
		Make a voice call	t
		Make a video call	t
ce/ Ise	Communication and Social	Send /read text messages	t
Mobile Device/ Computer Use	Participation	Send/read emails	t
le D puti		Post to/read social media networks	t
lobi om		Locate information on the internet	Ł
ΣŬ	Other	Download and use apps on a mobile device to complete	t
	Device Use	daily activities	1
			L





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# **Content Validation**

#### **Provider Study Interviews**

- Activities providers currently use smart home tech to support client performance
- Review of ASSIT FPI

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- Relevance of task in item bank
- Relevance of outcomes measures
- Understandability of instructions and assessment tool form
- Administration format
- Any additional feedback

### **Client Study Interviews**

- Activities clients currently have difficulty completing and/or receive assistance from caregiver to complete (paid or unpaid)
- Activities clients use smart home tech to support their performance





# **Provider Study**

# **Qualitive study conducted to:**

- Understand the service delivery methods used by professionals from different disciplines when using mainstream smart home technologies as AT
- Collect feedback the on version 1 of the ASSIST Functional Performance Index (AFPI)



#### Demographics



#### **Practice Settings**

- 6 Home health or
  - community-based services



- 5 Inpatient rehabilitation
- 4 Outpatient rehabilitation
- 6 Independent business
- 1 School

#### Professional Backgrounds

- 8 OT 2 Technology Specialist
- 2 SLP 5 Certified Aging-in-
- 1 PT Place Specialist
- 1 RE 2 State AT Program
- 7 ATP Directors
  - 1 Independent Living Specialist









#### Provider Study Interviews

#### Support Need for Smart Home AT Outcome Measure

Theme: Informal service delivery process – Lack of formal outcome and assessment measures

- Despite different roles and professions, professionals tend to start with a similar process which includes considerations of client goals and abilities.
- Only 2 out of 15 professionals used some custom surveys for outcome evaluation.

U.S. Department of Veterans Affairs PT: "We don't really have any formal way of determining the effectiveness of it. It's more informal that I try and reach back out to the client two, three, four weeks after to see if it's working, see if they like it ... And honestly, I think that's one area that we could improve on."

ATP: "I've created a kind of questionnaire that I just go through."

Tech Specialist "... obviously the challenge is people that don't know what they don't know." OT: "We would have kind of a process that we would follow. We tried searching for an evaluation that sort of met the needs and we never really found one. My client need sets are so highly variable that I've not had a standardized assessment that I bring into it."

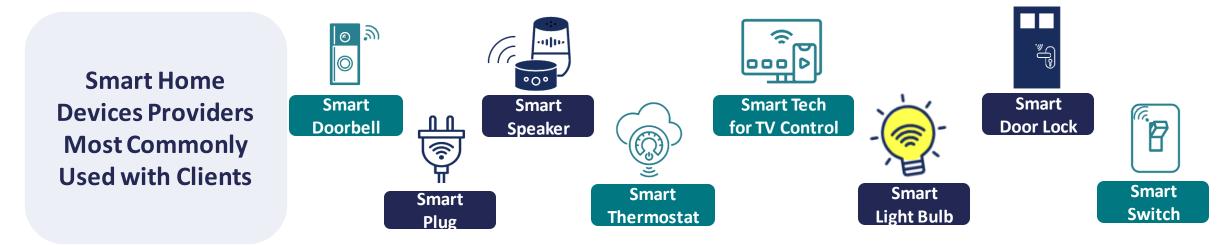
OT: "This was something that we had a challenge taking on in at our organization and we were really trying to find ways to measure these outcomes. And you know, I think some of our outcomes were based on individual experiences and anecdotal in nature. So we implemented kind of data collection using the goal attainment scaling to try to capture outcomes of groups of individuals."





#### **Provider Study Interviews**

# **Current Smart Home Tech Use in Services**



#### Additional Smart Home Devices Used with Clients **Smart** Smart Smart **Smart Tech for** Smart **Smart Blinds** Shower Microwave Vacuum **Medication Management** Faucets U.S. Department of Veterans Affairs

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#### Comprehensive tool to support the assessment process and measure outcomes

"I really liked the different types of parameters and how you're collecting data. I like that it's a self report on performance. I like that you have the satisfaction piece in there too...that satisfaction piece is such an important part... And then I like that there's the clinical observation aspect as well, because that may not always be consistent with how an individual reports their own function. "

"I like that you look at what they perceive as their ability to do it for task mastery, and then contrast that and compare that with the clinician's observation."

"The fact that you account for you know, hey, they're doing this, but they're unsafe while they're doing it. That is taking into account one component of it that some measures don't even consider. So, I just wanted to say that I appreciate that a lot. " "It makes sense to me like how it's, how it's laid out and just reading through, like, I can think of like clients, like examples of where many of these different things were applicable in different situations. So, I think it makes sense that it's all captured here. "

"It's a pretty comprehensive tool... I think it looks like a great tool to organize your approach when selecting smart technology for an individual." "I like it though it kind of covers everything that you could see us covering in a home assessment, and just kind of going through the list of things. Yeah I like that it's really comprehensive. It balances the patient's their perception, their satisfaction and actual clinical assessment of performance, so it does balance that well.

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Provider Study

Interviews

**Functional** 

Feedback

Performance

ASSIST

Index





Provider Study Interviews

ASSIST Functional Performance Index Feedback

# Rating Scale ChangesMinimal $\rightarrow$ A LittleModerate $\rightarrow$ SomeMaximal $\rightarrow$ A Lot

#### **Item Bank Consolidation** Opening and Closing the Main Exterior Door Home Entry Locking and Unlocking the Main Exterior Door Garage Entry Opening and Closing the Garage Door Answering the Doorbell **Handling Visitors** Letting Visitors In Your Home Turning On, Off, and/or Dimming the Lights in ALL **Lighting Control** Areas of Your Home (interior/exterior) That You Want/Need to Control the Lighting Controling the Thermostat to Turn Heating/Cooling On, Off, or Adjust the Tempature **Tempature Control** Turning On, Off, and/or Adjusting the Speed of Ceiling or Plug-in Fan(s) Opening and Closing the Windows Window Control Openaing, Closing, and/or Adjusting Window Treatments (Curtians or Blinds)



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# Suggested Changes

- Use laymen's terms for the qualifiers on difficulty and assistance rating scales
- Consolidate home access tasks in item bank
- Consider an adaptive administration format and/or computerized
- Add supervision or verbal cues option to clinician rating scale





# **Client Study**

# **Mixed-Methods study conducted to:**

- Assess content validity of ASSIST Functional Performance Index item bank to ensure the task are
- Assess Test re-test reliability

# **3-6 sessions conducted via Zoom**



#### Demographics **15** Participants Ages: 24-76 years old Gender: 10 female, 5 males **Severe Physical Disabilities** Ehlers Danlos • Cerebral Palsy Congenital • Multiple Sclerosis **Myasthenic** • Spinal Cord Injury syndrome • Arthrogryposis Multiplex Congenita• Fibromyalgia • Live in residential setting • Receive home & communitybased services waiver • 33% Live alone and 66% Live with caregiver, parent, spouse or roommate





#### **Client Study**

# **Session 1: Interview**

- Semi structured interviews to understand participants
  - Current activity performance and assistance needed or currently utilized
  - Caregiver supports and their impact on activity performance
  - Experience using mainstream smart technologies (smartphones, tablets, computers, and smart home devices)
- Thematic Analysis
  - 3 researchers complete TA separately followed by group discussion and review to identify major & sub-themes







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# Client Study Interviews Major Themes

- 1. Activities that require human assistance
- 2. Challenges that may be addressed with smart technologies
- 3. Wide range of current tech usage
- 4. Influence of comfort & experience
- 5. Instructions and technical support
- 6. Concern for cost impacting access
- 7. Implications of home environment
- 8. Influence of Caregivers
- 9. Value independence
- 10. Safety & Security

**THEME 7:** "The smart plugs are tricky, but interesting and something that could be plugged and unplugged easily, you know? And that might be something that I could use. Like I said, it can't be a permanent thing because I do rent."

**THEME 8:** "And that happens a lot. Last time somebody wasn't around, um... I had a caregiver who was in the hospital last week. And a period of time when a backup couldn't get here for a couple of hours. And I had to go to the bathroom. Well eventually nature took its course. Which meant that when somebody did show up, they had to put an extra 45 minutes into their shift to clean up the consequence...And that doesn't – it's not good for my health. It's not good for my dignity. Um... certainly not good for my stress level."

**THEME 2:** "The water temperature. Oh, cause sometimes like whenever the aid steps out the room sometimes the water ends up getting hotter. And then we go back to get it colder. Like, trying to find the middle. I wish I could find the middle. I had caregivers that just put the cold water, only turned it a little bit and it would be too cold, or it gets burnt. Cold and hot. That's it. For me that's hard. "

**THEME 6:** *"I was just gonna say that currently lights are the only thing I have set up. Partially cause of cost. Cause even the lightbulbs alone, per bulb, are pretty expensive compared to the average lightbulb."* 

"THEME 4: "Well, I loved it (ring doorbell) because I was able to see who was at the door. And I was able to see who was at coming up the ramp."

> **THEME 2:** "That is an issue. I can't reach the thermostat for the heat. Oh, I can't reach the light switch...And the control for my heat I can't reach that well...Yeah. And in fact, in January I was trying to reach my heat and I was standing up and on my bed and I fell and I broke my collarbone."

**THEME 10:** "Yeah, and I hear a lot about you have to be careful what you say because it records what you say....I don't know if that's true. Like she has asked me to learn my name, and I said... I decline it all the time because I'm afraid... you know. I don't know, maybe I'm overcautious or what but...Right. And I'm just very wary of those kinds of things so I decline it all the time."

**THEME 5:** "...you know, when it comes to my phone I would rather just go to the store and ask a person, how should I handle this, you know? ...I'm too broad when I ask for information. And I have to spend maybe minutes or, or hours, not hours but a long time searching for one little thing ... I'm not always aware of how to narrow my search down."





#### **Client Study Interviews**



Over 90% of the tasks included our item bank were mentioned as tasks clients currently require assistance to complete, have difficulty completing, or currently use smart home technology to complete the task



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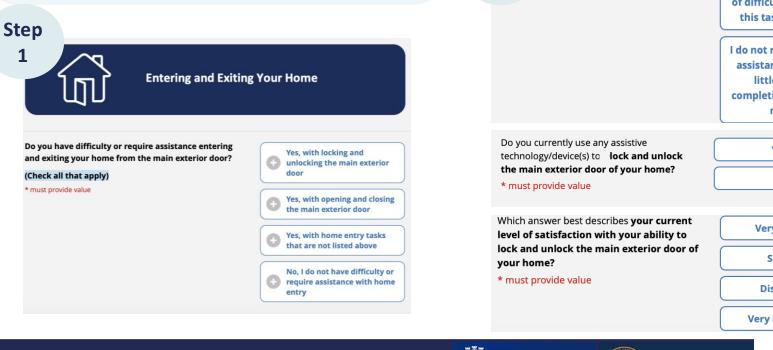


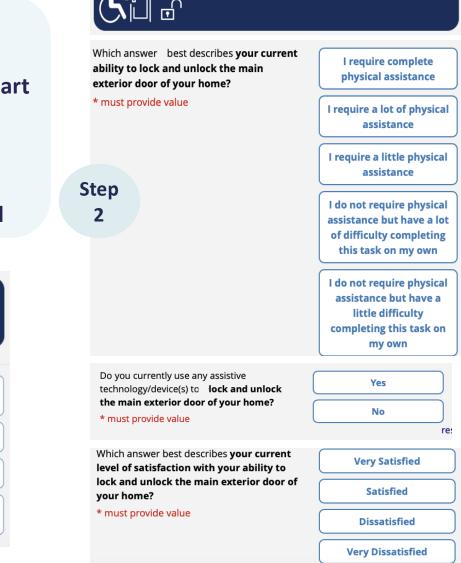
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#### **Client Study**

# **Pilot Testing**

- 4 participants
- Completed ASSIST Functional
   Performance Index twice, 3-7 days apart
- Completed via REDCap
- Clinician provided instructions on completing the assessment and was present throughout to assists needed





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Locking and Unlocking the Main Exterior Door

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#### Client Study Pilot Testing

# **Changes Based on Results and Client Feedback**

#### Problems

- Demonstrated difficulty rating level of assistance or difficulty associated with task performance
  - Suggested adding percentages to help quantify a little and a lot of on rating scales
- Confusion regarding what context the participant should be rating their performance
- Confusion if assistive technology use included mainstream technology
- Item Bank Clarity Concerns
  - Self-management
  - Lighting Control
  - Completing Shopping Trip

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• Sleep

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#### Revisions

- Restructure question format and sequence
- Improve clarity of instructions
  - Revised rating scale explanations and added qualifiers
  - Performance is to be rated based on how the client most commonly performs the task
  - Use of Technology is complete a task includes AT and mainstream technology devices
- Expandable help buttons added under question prompts to view explanations of rating scales as needed
- Item Bank Revisions
  - Defined self management and provided examples tasks
  - · Added to cue to consider all areas of home
  - Split shopping into 2 task: online & shopping in a store
  - Sleep Task removed





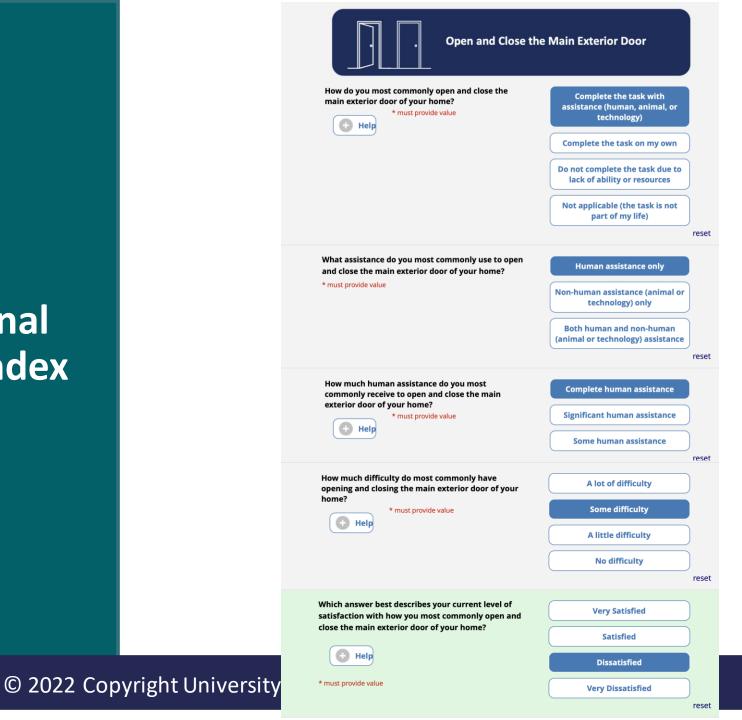
# Updated ASSIST Functional Performance Index

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ASSIST Functional
Performance Index

# Scoring

Assistance Type Selected	Level of Difficulty Selected	SCORE
Not Applicable		0
Not Completed		0
	+ a lot of difficulty	1
Complete human assistance	+ some difficulty	2
with or without non-human assistance	+ a little difficulty	3
	+ no difficulty	4
	+ a lot of difficulty	5
Significant human assistance	+ some difficulty	6
with or without non-human assistance	+ a little difficulty	7
	+ no difficulty	8
	+ a lot of difficulty	9
Some human assistance	+ some difficulty	10
with or without non-human assistance	+ a little difficulty	11
	+ no difficulty	12
Nice have a solid a solid	+ a lot of difficulty	13
Non-human assistance only	+ some difficulty	14
OR No assistance	+ a little difficulty	15
	+ no difficulty	16



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# Use of the ASSIST Functional Performance Index to support Assessment Process for using Smart Home Tech as AT



Understand Clients Baseline Functional Performance



Identify tasks which existing smart home technologies may improve functional performance



U.S. Department of Veterans Affairs Supplemental ASSIST Environmental Characteristics Evaluation Checklist (in development)





# **Next Research Steps**

In Progress  $\rightarrow$ 

#### Test re-test reliability testing

• Intra-class correlation (ICC) coefficient of at least 0.75.

Planned  $\rightarrow$ 

#### **ASSIST** Pilot study

 Use of ASSIST Functional Performance Index to support assessment and measure intervention outcomes

Future Directions

U.S. Department of Veterans Affairs  $\rightarrow$ 

Further psychometric testing with larger sample size









U.S. Department of Veterans Affairs



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